EXTENDED SENIOR MANAGERS AND CERTIFICATION REGIME OVERVIEW: CORE AND ENHANCED FIRMS

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Kev:

- Applicable to both Core and Enhanced Firms
- Applicable to Enhanced Firms only
- Applicable to EEA branches
- Applicable to Non-EEA branches
- Applicable to Core Firms only





ist	•	Only applicable in relation to the firm's regulated and unregulated financial services activities (including any related ancillary activities)
•	•	No regulatory pre-approval is required
	•	No assessment of fitness and propriety is required

(Proposed rules per FCA CP 17/25 dated July 2017)

Getting in touch

When you need a practical legal solution for your next business opportunity or challenge, please get in touch.

London

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